RETHINKING THE SATIPAŢŢHĀNA

The miracle of samādhi

Bhikkhu Cintita, © 2023 DRAFT (01/20/24)

Samādhi occupies a prominent role in the early Buddhist texts. Right *samādhi* is the final factor of the noble eightfold path. Also equated with the four *jhānas*, *samādhi*¹ is a profound state of serenity, in which the mind becomes progressively centered, silent, totally at ease and equanimous, and yet it is only through *samādhi* that the achievemnt of wisdom, or of knowledge and vision of things as they are (*yathā-bhūta-ñāṇa-dassana*) is possible.

However, $sam\bar{a}dhi$ has become a controversial topic in within the Theravāda tradition, where much confusion seems to have resulted historically first from a redefining of $sam\bar{a}dhi$, then from an attempt attempt to reconcile contrasting frameworks that don't in principle cohere. The debate persists even among the strict adherents to the authority of the early Buddhist texts, where contrasting evidence is cited for "strong" or "weak" $jh\bar{a}nas$, and where there is still no consensus about how Dhammic insight is even possible in $jh\bar{a}na$.

In this paper, I hope to develop a fruitful perspective to better understand how $sam\bar{a}dhi$ works in the early texts. I will point out some common but widely neglected passages concerning the ubiquitousness and spontaneous nature of $sam\bar{a}dhi$, and about the fruits of sam $\bar{a}dhi$. I will also examine some details of how $sam\bar{a}dhi$ is claimed to integrate in practice with other factors, from the perspective of what we know about human cognition. I hope thereby to contribute to a fuller illumination of this remarkable multifaceted culminating factor of the noble eightfold path.

Samādhi arises spontaneously

Many of us learn, as beginning meditators, a special technique to induce a meditative state: Typically, we pick a meditation "object" (like the sensation of

¹ Samādhi is translated as 'composure' or more commonly 'concentration,' and jhāna as 'meditations' but both terms will be left untranslated here.

the breath, a *kasiṇa* or an imagined sound), fix our attention right there and keep it there for a while. Although this induces a profound state of absorption, there is almost no hint of the employment of such techniques in the early texts.²

Instead, in the early texts we repeatedly find a natural transition into *samādhi* from some underlying condition apparently unassisted.

Monks, for a virtuous person, one whose behavior is virtuous, no volition need be exerted, "Let satisfaction [non-regret] arise in me." It is natural that satisfaction arises in one who is virtuous, one whose behavior is virtuous.

- ... It is natural that delight arises in one with satisfaction.
- ... It is natural that rapture arises in one who is delighted
- ... It is natural that the body of one with a rapturous mind is tranquil.
- ... It is natural that one who is tranquil in body feels pleasure.
- ... It is natural that the mind of one feeling pleasure dwells in *samādhi*. (AN 11.2)

The arising of *samādhi* while practicing remembrance of the triple gem is likewise attested in similar terms:

When a noble disciple recollects the Buddha, on that occasion his mind is not obsessed by lust, aversion, or delusion; on that occasion his mind is simply straight, based on the Buddha. A noble disciple whose mind is straight gains inspiration in the meaning, gains inspiration in *Dhamma*, gains delight connected with *Dhamma*. When he is delighted, rapture arises. For one with a rapturous mind, the body becomes tranquil. One tranquil in body feels pleasure. For one feeling pleasure, the mind comes into *samādhi*. ... You should develop this recollection of the Buddha while walking, standing, sitting and lying down. You should

The absence of such a mechanism in the early texts has been pointed out by Vetter (1988, xxv), Arbel (2017, especially 46, 156), Polak (2011, 206). I will draw some contrasts between what the early texts tell us and the highly influential *Visuddhimagga* (Buddhaghosa 2003) as we go along, which forms the basis of modern *Vipassanā* meditation, and in which attainment of *samādhi* depends on elaborate specialized methods, and even then with great difficulty. It is now widely acknowledged in modern scholarship that the meaning of *samādhi* in this later tradition had become something quite distinct from the early meaning (Shankman 2008, esp. 101-4; Kumāra 2022, 10-22; Thanissaro 1996, 248-51; Polak 2011).

develop it while engaged in work and while living at home in a house full of children. (AN 11.12)

Notice that in each of these passages we begin with some recognized Buddhist practice, after which a common series of antecedent states unfolds before *samādhi* blooms. This series is found often in the *suttas*:³

```
PRACTICE \rightarrow delight (p\bar{a}mujja) \rightarrow rapture (p\bar{i}ti) \rightarrow tranquility (passaddhi) \rightarrow pleasure (sukha) \rightarrow sam\bar{a}dhi.
```

In some texts only two of these antecedent factors are mentioned:

```
PRACTICE \rightarrow rapture \rightarrow tranquility \rightarrow samādhi.
```

We find this same stepwise unfolding of *samādhi* in the context of learning *Dhamma* through group or private recitation:

In whatever way ..., he experiences inspiration in the meaning and inspiration in *Dhamma*. As he does so, delight arises in him. When he is delighted, rapture arises. For one with a rapturous mind, the body becomes tranquil. One tranquil in body feels pleasure. For one feeling pleasure, the mind gains *samādhi*. (AN 5.26)

The seven factors of awakening provide the best known example of this same series of antecedent states leading to *samādhi*:

```
mastery \rightarrow investigation of dhammas \rightarrow energy \rightarrow rapture \rightarrow tranquility \rightarrow samādhi \rightarrow equanimity. (See SN 46.1, etc.)
```

"PRACTICE" here is constituted in the first three links, which correspond to the fourth *satipaṭṭāna*, contemplation of *dhammas*. It is abundantly clear in the early texts that *satipaṭṭhāna* is practiced almost always in conjunction with *samādhi*.⁴

The Buddha describes the naturalness by which this series arises, in conjunction with a larger chain in which this series is embedded, as follows:

³ In addition to the examples above, we have AN 11.1, MN 40, MN 7, SN 54.13, SN 54.14, MN 118, SN 47.10, SN 47.8, SN 42.13, SN 35.246, SN 35.97, AN 11.1,1 AN 6.10, AN 11.15, AN 3.95, SN 12.23. These are heavy on ethics, faith and sense restraint, in addition to wisdom, but all leading to *samādhi*.

⁴ This association is not mentioned in the *Satipaṭṭhāna Sutta* itself. However, the suttas repeatedly depict *satipaṭṭhāna* practice in close association with *samādhi* and the *jhānas*, e.g., MN 44 i301, SN 47.8, 47.40, AN 4.94, AN 4.170, Dhp 372. AN 8.63 iv 300-1 even refers to each *satipaṭṭhāna* as "a *samādhi*."

Just as, bhikkhus, when rain pours down in thick droplets on a mountain top, the water flows down along the slope and fills the cleft, gullies, and creeks; these being full fill up the pools; these being full fill up the lakes; these being full fill up the streams; these being full fill up the rivers; and these being full fill up the great ocean; so too, ... with faith as proximate cause, delight; with delight as proximate cause, rapture; with rapture as proximate cause, tranquillity; with tranquillity as proximate cause, pleasure; with pleasure as proximate cause, $sam\bar{a}dhi$; with $sam\bar{a}dhi$ as proximate cause, the knowledge and vision of things as they really are; ... (SN 12.23)

These examples show that $sam\bar{a}dhi$ is conditioned, but none of its conditions is a special technique for inducing $sam\bar{a}dhi$.⁵ At least some practices give rise to $sam\bar{a}dhi$ as an organic result, but each of the practices is undertaken for its own sake, with its own functions independent of $sam\bar{a}dhi$. Likewise, within $sam\bar{a}dhi$ we proceed from one $jh\bar{a}na$ to the next spontaneously: the mind simply lets go of what it is holding onto in one $jh\bar{a}na$ when it is ready, "you don't even have to wish" for it.⁶

This gives us some insight into the meaning of the following statement:

The Buddha awakened to [discovered] *jhāna*.⁷ (SN 2.7 i48)

'Awakened' (*bujjhā*) means figuratively 'discovered' in some contexts. Either way, it suggests that he did not *invent jhāna*, but appropriated something already present in human cognition, ready to arise under certain circumstances. It required no special technique. In fact, this is exactly what is described when, as a young child, the Bodhisatta entered the first *jhāna* spontaneously while sitting under a rose apple tree.⁸

If *samādhi* is already a natural faculty, what makes the fourfold *jhāna* uniquely Buddhist? I think the answer is that he refined it: he recognized its potential and the processes whereby it could bear fruits, and then developed and cultivated it accordingly. He made it into an art and a science. "Feeding" similarly comes naturally to humans, but through development and cultivation we get *haute cuisine* and table manners.⁹

⁵ Polak (2011, 30, 206).

⁶ Gunaratana (2009, 142, 149).

⁷ Jhānam bujjhā buddho.

⁸ MN 36 i246.

Right mastery gives rise to samādhi

The first question we hope to answer is, What are the root conditions that gives rise to $sam\bar{a}dhi$? "PRACTICE," as the condition from which samādhi unfolds, is so far broad and vague: All practices? Every time? Can non-Buddhist practices qualify? For instance, could a virtuoso pianist spontaneously enter $sam\bar{a}dhi$? What practice might the Bodhisatta have been engaged in under the rose apple tree to give rise to the first $jh\bar{a}na$? The Buddha gives us a hint for how to answer these questions:

For one of right mastery, right samādhi springs up. (SN 5.25-6)

Right mastery (*sammā-sati*)¹⁰ is the factor of the eightfold path just prior to right *samādhi*. Now, right mastery is potentially associated with every aspect of Buddhist practice. Consider the following passage:

And how is right view the forerunner? One discerns wrong action as wrong action, and right action as right action. ... One tries to abandon wrong action and to enter into right action: This is one's right effort. One remembers to abandon wrong action and to enter and remain in right action: This is one's right mastery. Thus these three qualities – right view, right effort, and right mastery – run and circle around right action.

(MN 117 iii72)

This passage is repeated as for action, also for view, attitude, speech and livelihood. Since right mastery with its companions routinely runs and circles around all of the wisdom and virtue factors, we predict the arising of *samādhi* in a swath of diverse practices in these categories. I will call practices within the scope of the first five path factors "primary practices." Right effort, right

⁹ I would venture to speculate that the meditations in which the Bodhisatta later trained were heavy on technique and that this turned the natural capacity for *samādhi* into something less integrated into other aspects of practice. An analogy might be replacing natural feeding with intravenous feeding. Sujāto (2012, 155) suggests that the Buddha uniquely discovered "deep absorption," which is exactly to opposite of what is suggested here.

^{10 &#}x27;Mastery' is here translated as *sati*. I am careful to avoid translating *sati* as 'mindfulness.' With 'mastery' I hope to restore something close to Rhys David's once apt choice of 'mindfulness' to refer to memory applied to purposeful activity in the present, primarily "know-how" rather than "know-what," but also to emphasize the profound level of skill that *Dhammic* "know-how" exhibits. See my related paper "There is no word for 'mindfulness' in Pali."

mastery and right *samādhi* are "auxiliary practices," providing the mental facilities that support and improve the efficacy of the primary practices.

Right view is our understanding of *Dhamma* and provides the parameters of the primary practice. Right effort ensures the implementation of the primary practice. Right mastery plays an intermediary "executive function," drawing on right view and monitoring the relevant circumstances of the situation in which the practice is implimented. my related paper *The satipaṭṭhāna method*. To see how right mastery works, we need look no further that the *Satipaṭṭhāna Sutta*, which requires that four qualities be maintained by the practitioner:

... ardent, comprehending, and masterful, having put away covetousness and grief for the world. (MN 10 i56)

I call these qualities, "the satipaṭṭhāna method" in my related paper of that name, ¹¹ in which I also argue that these factors form the basis of right mastery, in its executive function defined above combined with utmost attentiveness, the ardent maintenance of fully engaged awareness of whatever aspects of *Dhamma* and situational variables are relevant to the performance of the practice task, while "unhindered" by worldly matters.

In right mastery, attention is skillfully managed. Attention for the untutored worldling is typically scattered or engaged in multiple tasks at the same time. However, in Buddhist practice, the "scope of attention" should optimally center around the required "know-how" and around associated situational factors relevant to the single primary practice task at hand. To be functionally viable, attention will be as broad or narrow as the task demands and relatively stable but not necessarily fixed, for different stages of the task or different contingencies may require adjustments to the relevant scope. Under optimal conditions the mind is "shrink-wrapped" around the singular theme of the primary practice. The phrase "having put away covetousness and grief for the world" describes the basic level to which the scope of attention is narrowed in right mastery. Samādhi takes over from there.

Samādhi and the scope of attention

Etymologically, *samādhi* is derived from *sam* 'together' + *ādhi* 'put,' and so has to do with gathering or collecting something together. *Samādhi* is the noun

¹¹ See also Cintita (2020, 11-30).

form and can be properly translated as 'collectedness' or 'composure.' ¹² Etymologically, $jh\bar{a}na$ is the gerund of the verb $jh\bar{a}yati$, apparently used before the Buddha to denote almost any contemplative or meditative activity. ¹³ The Buddha also uses this term broadly in the common, informal sense alongside a technical sense of the "fourfold $jh\bar{a}na$," which seems to have been novel in his teachings. The Buddha equates the fourfold $jh\bar{a}na$ with $sam\bar{a}dhi$, ¹⁴ such that there is no $sam\bar{a}dhi$ independent of the four $jh\bar{a}nas$ in the early texts. ¹⁵

Samādhi fulfills its function and produces its fruits though narrowing the scope of attention. This begins with "one-centeredness."

Samādhi is one-centeredness of mind. (MN 34 i301)

What I am translating as 'one-centered' *ekagga* is commonly translated as 'one-pointed' (*eka* 'one' + *agga*), with the presumption that the the mind is thereby willfully fixed on a single object and held there. This is the general principle behind techniques for entering states of deep absorption in a mediation object, which seems to be largely absent in early Buddhist practice. ¹⁶ In fact, one-pointedness makes no sense in the present functional account, because reducing attention to a single point would disrupt the scope of attention needed in the primary practice task almost every time, leaving nothing to be masterful about. The scope needs to be narrow or broad according to the needs of the primary practice task. In the *Nikāyas*, *samādhi* cannot principally be one-pointed!

In fact, *agga* does not mean 'point,' and *ekagga* does not mean 'one-pointed.' Rather *agga* seems to be associated with two semantic "clusters": ¹⁷ one is a

¹² These two translations are recommended by Kumāra (2022). The more common 'concentration' is less apt.

¹³ On the other hand, according to Walshe (1987, 556), Rhys-Davids found that there seems to be no pre-Buddhist precedent for the term *samādhi*.

¹⁴ For instance, in SN 45.8.

¹⁵ It is often challenging to determine if a given term is being used in its conventional or technical sense in the early texts. However, Arbel (2016, 39) points out that in its technical sense *jhāna* is consistently fourfold and does not appear in verbal form, rather one consistently "enters and abides" (*upasampajja vihārati*) in *jhāna*.

¹⁶ Ekagga is analyzed as 'one-pointed' in the Visuddhimagga. Arbel (2017, 46, 175) finds no evidence in the Nikāyas of such deep absorption. Thanissaro (2012, 27) points to the variants of full-body awareness used to describe each of the *jhānas* in MN 119 iii92-4, AN 5.28, etc., and Kumāra (2022, 47) to examples of listening to a *Dhamma* or moving around with an *ekagga* mind in AN 5.151, Iti 111 and AN 4.12.

¹⁷ Thanissaro (2016).

salient feature, like a mountain peak or the finest of delicacies, that easily becomes the center of attention. ¹⁸ The other is a meeting place, like a meditation center. 'One-centered,' is intended to capture both senses. *Eka* 'one' seems to convey that the mind is not trying to occupy more than one mountain peak or jump from one meeting house to the next. *Cittass'ekaggatā* 'one-centeredness of mind' is the condition in which there is a single well-defined theme, that is, attention is "collected," "composed" or "unified" in terms of relevance to the practice task at hand. *Ekodibhāva* 'unification' seems to be a common synonym for *ekaggatā*.

The one-centered mind, in terms of skilled performance of Buddhist practice, optimally includes whatever is relevant to the performance of that primary task, but in principle excludes all else. It is easy to appreciate that supplementing right mastery with one-centeredness of mind will improve performance in the task. However, this transition will only sometimes succeed and may easily regress, because <code>samādhi</code> is acutely sensitive to a range of factors, particularly to external disruptions and responsibilities. It is most likely to be present, all else being equal, when one is sit cross-legged, secluded under a tree, surrounded by the hushed sounds of nature, contemplating "body in body."

With the arising of *samādhi*, the primary task supported by right mastery becomes the "theme of *samādhi*" (*samādhi-nimitta*).¹⁹ This is necessary if *samādhi* is to fulfill its function, which is, as we will see, basically to kick mastery in task performance into high gear. This explains the following:

"One-centeredness of the mind is *samādhi*. The four *satipaṭṭhānas* are the themes of *samādhi*. The four right efforts are the prerequisites for *samādhi*. The pursuit, development, and cultivation of these very same things is the development of *samādhi*. (MN 44 i301)

The "pursuit, development and cultivation" here constitute either the training that results in achieving *samādhi* readily as an inclination of the mind, or the stages of accomplishment of the primary practice enabled by *samādhi*. Sometimes it is desirable to nudge ourselves into *samādhi* in pursuit of its

¹⁸ The point of a needle, its most salient feature, is indeed *agga*, but the meaning of *agga* is far broader.

¹⁹ The more common 'object' is not appropriate, because the theme ranges potentially widely over many actively relevant objects and relations. I adapt the term 'theme' from Thanissaro (2012, 31), used in a similar context.

fruits, and this is accomplished by controlling its conditions. If we habituate the entrance into $sam\bar{a}dhi$ or higher $jh\bar{a}nas$, this will enable us routinely and more quickly to enter them as an inclination of mind. For instance, in practicing $satipatth\bar{a}na$ we are told we can develop $sam\bar{a}dhi$ naturally, undirected, but:

While he is contemplating the body in the body, there arises in him, based on the body, either a fever in the body or sluggishness of mind, or the mind is distracted outwardly. That bhikkhu should then direct his mind towards some inspiring theme [pasādanīye nimitte]. ... It is in such a way, Ānanda, that there is development by direction. (SN 47.9 v156)

He chooses a theme conducive to $sam\bar{a}dhi$ temporarily, then returns to his bodily theme. With familiarity we will find that we, as in all things, learn to control subtle conditions intuitively, much as a child learns (but cannot explain) how to swing higher in a playground. We will find we can move from one $jh\bar{a}na$ to the next simply by wishing it.²⁰

Unfolding of jhāna

As we pursue a primary practice task, right mastery runs and circles around it, and as a result we may spontaneously enter $sam\bar{a}dhi$. Beyond this, we are commonly encouraged further to develop and cultivate this practice by making a point of bringing it through the stillness and silence of the series of $jh\bar{a}nas$. From the first $jh\bar{a}na$, the second $jh\bar{a}na$ unfolds, and so on, at each stage as the currently most energetic mental or bodily quality of the previous stage comes to rest. The Buddha took great care to define which mental qualities are present and which are absent in each of the $jh\bar{a}nas$; he must have felt that it is important for us to be able to navigate them.

Let's consider an example. *Mettā* ('kindness') contemplation is a common practice. It belongs to right attitude, around which right mastery therefore runs and circles:

When, bhikkhu, your mind is firm and well settled internally, and arisen bad unwholesome states do not obsess your mind, then you should train yourself thus: "I will develop and cultivate the liberation of the mind by

²⁰ Again, as Gunaratana (2009, 142, 149) puts it.

mettā, make it a vehicle and basis, carry it out, consolidate it, and properly undertake it." Thus should you train yourself. (AN 8.63 iv299)

If we practice right mastery, attending to the arising of *mettā* in one's own experience while recollecting the teachings about *mettā*, all the while ardent and unhindered, *samādhi* is expected to arise. In the phrase "develop and cultivate," development (*bhāvanā*) indicates a potential breakthrough in mastery, and cultivation (*bahulīkata*, literally 'done a lot') indicates repeated practice, consolidating such breakthroughs. The sutta continues:

"When this *samādhi* has been developed and cultivated by you in this way, then you should develop this *samādhi* with thought and deliberation. . . (AN 8.63 iv299-300)

The first *jhāna* is certainly intended, in which we continue to think and deliberate, as the ordinary mind already does almost incessantly. This is a standard description:

 $(1^{st}jh\bar{a}na)$ Here, quite separated from sensual pleasures, separated from unwholesome states, a bhikkhu enters upon and abides in the first $jh\bar{a}na$, which is accompanied by thought and deliberation, with rapture and pleasure born of separation.

(MN 141 iii 252)

Being separated (*vivicca*) from sensual pleasures and unwholesome states is certainly highlighted here as the definitive factor in the first *jhāna*. It is elsewhere regarded as equivalent to the elimination of the five hindrances (*nīvaraṇa*), and to the full attainment of "having put away covetousness and grief for the world" in the *satipaṭṭhāna* method. Entry into the first *jhāna* begins when the mind is "unhindered."

The mettā practice continues:

... you should develop it without thought but with deliberation only;²¹ you should develop it without thought and deliberation. You should develop it with rapture; ... (AN 8.63 iv299-300)

²¹ The words *vitakka* and *vicāra* almost always occur in combination and it has been suggested that they are synonymous in the early texts (Kuan 2008, 38). If this *is* the case, the absence of either one might simply indicate a more subdued level of discursive thought.

Here we have reached the second *jhāna*. The second *jhāna* arises wherever thought and deliberation are put to rest in favor of some quieter mode of cognition. This is a standard description:

 $(2^{nd} jh\bar{a}na)$ With the stilling of thought and deliberation, he enters upon and abides in the second $jh\bar{a}na$, which has tranquility and unification [one-centeredness]²² of mind without thought and deliberation, with rapture and pleasure born of $sam\bar{a}dhi$.

```
(MN 141 iii 252)
```

"Born of *samādhi* entails that one-centeredness was present prior to the onset of the second *jhāna*. Rapture and pleasure would have been, as we have seen, already present as antecedent states prior to entering *samādhi*, and now they remain. Entry into the second *jhāna* begins when the mind becomes "silent."

The mettā practice continues:

```
... you should develop it without rapture; you should develop it accompanied by comfort. ... (AN 8.63 iv299-300)
```

Here we are in the third $jh\bar{a}na$. the antecedent factor of rapture has been shed. This is a standard description:

(3rd *jhāna*) With the fading away as well of rapture, he abides in equanimity, masterful and comprehending, still feeling pleasure with the body, he enters upon and abides in the third *jhāna*, on account of which noble ones announce: "He has a pleasant abiding, who is equanimous and masterful." (MN 141 iii 252)

The reference to mastery and comprehension is significant. We will examine it below.

The *mettā* practice concludes:

```
... and you should develop it accompanied by equanimity. (AN 8.63 iv299-300)
```

And finally we have arrived at the fourth *jhāna*. This is its standard description:

²² Recall that 'unification' (*ekodibhāva*) is apparently a synonym for 'one-centeredness' (*ekaqqatā*).

(4^{th} jhāna) With the abandoning of pleasure and pain, and with the previous disappearance of joy and grief, he enters and abides in the fourth $jh\bar{a}na$, which has neither-pain-nor-pleasure and purity of mastery due to equanimity. (MN 141 iii252)

The reference to "purity of mastery" is also significant, for it entails further growth, development and cultivation of mastery itself in this fourth $jh\bar{a}na$, which we will also examine below.

The development of *mettā* benefits somehow from abiding in each of the *jhānas* while centered on this theme. This same process is then repeated as for *mettā* for the three remaining *brahmavihāras* (*karuṇa*, *mudita*, *upekkhā*). It is then repeated for the four *satipaṭṭhāna* contemplations (body, feelings, mind, *dhammas*). These last four practices belong to right view (they are concerned with verifying and internalizing *Dhamma*), around which right mastery also runs and circles.

The *jhānas* participate in the narrowing of attention that progresses as follows (putting most of the more affective factors aside):

unhindered ▶ one-centered ▶ silent ▶ at ease ▶ equanimous

At each stage a distracting factor is stripped away, even while the initial theme of *samādhi* (*mettā*, the other *brahmavihāras* and the themes of the individual exercises within the four *satipaṭṭhānas* in the text just cited) are maintained. Particularly significant for us is the loss of discursive thinking as we enter silence.

Notes on the jhāna factors

Once again, the first *jhāna* arises when the mind becomes separated or unhindered: "quite separated from unwholesome states." An unhindered mind seems to suffice for entrance into the first *jhāna*,²³ as "born of separation." However, notice that neither one-centeredness nor the equivalent unification is listed as a factor for the first *jhāna*. This has led some to claim that at this point *samādhi* has not yet arisen. Although an alternative description adds one-centeredness as a factor of the first *jhāna*, this is relatively rare:

Friend, in the first *jhāna* five factors are abandoned and five factors are possessed. Here, when a *bhikkhu* has entered upon the first *jhāna*, sensual desire is abandoned, ill will is abandoned, sloth and torpor are abandoned, restlessness and remorse are abandoned, and doubt is abandoned; and there occur thought, deliberation, rapture, pleasure, and one-centeredness of mind. That is how in the first *jhāna* five factors are abandoned and five factors are possessed. (MN 43 i294-5)

Notice, incidentally, that the hindrances are also explicitly listed in this particular text in lieu of "separation," indicating the equivalence mentioned above. But there is no necessary reason that the mind must become onecentered as soon as it becomes unhindered. In fact practice experience suggests that, even without unwholesome intentions, the mind might jump for a time from one theme to another. I would suggest that the onset of $sam\bar{a}dhi$ (as onecenteredness) is actually within the first $jh\bar{a}na$, not necessarily at its onset. This also explains why the second $jh\bar{a}na$ is "born of $sam\bar{a}dhi$," implying a previous state of $sam\bar{a}dhi$ before entering the second $jh\bar{a}na$. It would seem that unhinderedness and the onset of the first $jh\bar{a}na$ is actually prior to the onset of $sam\bar{a}dhi$ (one-centeredness) per se.

Thought and deliberation ($vitakka-vic\bar{a}ra$), found in the first $jh\bar{a}na$, are everyday discursive thinking:²⁴

Thought and deliberation are the verbal fabrication, one breaks into speech. (MN 44 i301)

The presence of thought and deliberation in the first $jh\bar{a}na$ is particularly important to highlight here. First, it indicates undiminished cognitive faculties in the first $jh\bar{a}na$. Second, its abandonment in the second $jh\bar{a}na$ indicates a significantly quieter mode of cognitive function. This is not to say that the mind is free in the first $jh\bar{a}na$ to wander into choosing a color for that new addition, into plotting revenge or into worrying about paying off our credit cards. The separation or unhinderedness constrains the mind from wandering that far.

²⁴ In the *Visuddhimagga vitakka-vicāra* is declared to be "initial and sustained application" of the mind on a fixed object, associated with one-pointedness and with the techniques for entering the meditative state. There is no support for this technical meaning of what is elsewhere an everyday phrase in the *Nikāyas*: Cousins (1992) identifies forty occurrences of the combination of *vitakka* and *vicāra*, all of which have to do with conventional thought. See also Arbel (2017, 68), Shulman (2014, 22), Polak (2011, 14), Kumāra (2022, 56-60).

Nonetheless, attention must often be very broad, even as one-centeredness arises, as it must in the famous simile of the man carrying oil past the most beautiful girl of the land.²⁵ Attention must likewise be broad for the kung fu master attacked by twenty less adept warriors, or for one engaged in the skilled and wholesome task of cooking a meal. In the latter case, the theme may be limited to what is happening on the cutting board, or alternatively to coordinating and planning the various things happening at the same time on stove, bowl and sink. In either case, discursive thinking might still be necessary for accomplishing the primary task, while listening to music or daydreaming is put aside.

The disappearance of thought and deliberation in the second *jhāna* is the shutting off of this discursive, otherwise pervasive function. The Buddha accordingly calls the second *jhāna* "noble silence" (*ariya tuṇhībhāva*),²⁶ and that silence continues in the third and fourth *jhānas* as well. "Silence" here is best understood as "whisper quiet" rather than as the complete disappearance of subjective experience, for cognition is subjectively apparent at a significant level in all of the *jhānas*. For instance, we find that the Buddha praises Sāriputta for his talents for tracking *dhammas* notably in all *jhānas*, including the silent ones:

Whatever qualities there are in the first $jh\bar{a}na$... he ferrets them out one by one. Known to him they remain, known to him they subside... (MN 111)

This passage is repeated as for first $jh\bar{a}na$, also for the silent second, third and fourth. The entrance into the second $jh\bar{a}na$ is thereby marked by a transition from a discursive to an *almost* effortless, silent mode of cognition. Pondering may be ponderous, but perception is achieved quite imperceptibly. Many cognitive processes are altogether unconscious.²⁷

²⁵ SN 47.20, discussed in my related paper *The satipatthāna method*.

²⁶ E.g., SN 21.1 ii273.

²⁷ Noble silence cannot be purely silent. The passage about Sāriputta indicates that conceptual percepts must at least bubble up from the silence. I suggest that perception (sañnā), silent until the percept appears, as well as a degree of conscious oversight, is possible in silence, but not the fabrication (sankhāra) needed for deliberation. The following, for instance describes a point at which we've already progressed through all four $ih\bar{a}nas$.

^{...} a bhikkhu has grasped well the theme of reviewing, [paccavekkhaṇa-nimittaṃ] attended to it well, sustained it well, and penetrated it well with wisdom. (AN 5.28)

Many have puzzled over the question of how the knowledge and vision repeatedly attributed to $sam\bar{a}dhi$, the highest attainments of wisdom, can possibly arise when cognition is restricted in this way. In fact, we tend to sell silence short, for it is silent – not discursive – cognition that is most directly associated with the highest levels of expertise in task performance. For instance, neophytes in any particular skill – say in playing the saxophone or in driving a car – are those who have to think and deliberate, while virtuosos are those who simply step out of the way to let the skill play itself out through them ... and in fact commonly choke up if they even try to think about what they are doing. The purpose of "training" is to turn discursive know-what and know-how, into a much more effective silent know-how in order to avoid the clumsy effort and slow place of thought and deliberation in skilled performance.

The dichotomy between "silent vs. discursive" or "implicit vs. explicit" cognition has a natural correlate in our modern understanding of human cognition that has emerged in recent decades.²⁹ Implicit cognition occurs spontaneously, responds immediately to its conditioning factors and seems effortless. By far most of human cognition is handled implicitly. Consider that recognizing the face of a friend occurs immediately and requires subjectively no effort at all, yet is an extremely sophisticated task by any conceptual standard. Explicit thought is deliberate, conscious and runs much more slowly. It actually actually consumes glucose in the brain at a far higher rate, and it tires more easily (a condition tellingly suggestively called "ego-depletion). It is centered in specialized regions of the brain that have developed evolutionarily more recently.³⁰ Through repetition and familiarity, that is, "training," what starts out as effortful/discursive tends to become "internalized" as effortless/silent.

At critical junctures (when the diamond is cut, when the winning basket is thrown, when the shot is fired, or in cases in which an immediate response is urgently required), nature is known spontaneously and unannounced to curtail or shut down explicit thought and rely entirely on silent/effortless cognition. The higher-cost faculties would otherwise be a burden to immediacy of

²⁸ Shulman (2014) is concerned with addressing this issue.

²⁹ Proposed terms for these two modes are "effortless vs. effortful," "unconscious vs. conscious," "system 1 vs. system 2" and "fast thinking vs. slow thinking" and "blink and think." "Intuitive vs. rational" might also work. Sources on this are Bruya (2010), Kahneman (2011), Gladwell (205).

³⁰ Kahneman (2011, 41-4).

response. This condition is experienced, according to the psychological literature, as "flow." Moreover, in this silent/effortless mode cognition often comprehends a broader and more subtle range factors and makes more accurate choices than explicit thinking would, as when expert first impressions (intuitive hunches or a gut feelings) made in the blink of an eye turn out to be more reliable than later deliberate analysis. I suggest that this natural shutting down of more effortful cognitive factulties underlies $sam\bar{a}dhi$ and the transition from one $jh\bar{a}na$ to the next. It is through the continuation of silent cognition in the higher $jh\bar{a}nas$ that $sam\bar{a}dhi$ bears its juiciest fruits, that $sam\bar{a}dhi$ would produce neither insight nor knowledge and vision without noble silence.

Noteworthy in the descriptions of the third and fourth $jh\bar{a}nas$ is the reference to mastery and comprehension. Recall that the $satipatth\bar{a}na$ method that underlies right mastery involves four factors, two of which are mastery and comprehension. These are commonly compounded as 'mastery-comprehension' ($sati-sampaja\tilde{n}\tilde{n}\tilde{a}$), referring to the inner dynamics of right mastery, in which relevant know-how informs the understanding of the practice situation and the resulting interpretation further develops and cultivates mastery.³³ Mastery and comprehension are certainly already present in the first and second $jh\bar{a}nas$, since right mastery initiated the sequence of states resulting in $sam\bar{a}dhi$ in the first place. Their reintroduction in the third $jh\bar{a}na$ reminds us that they continue to operate on behalf of a primary practice task, even in silent cognition.

Moreover, "purity of mastery" in the fourth *jhāna* suggests this new or further development of mastery. Mastery is something that is not only applied in practice, but is also, through training, is developed, cultivated and ultimately perfected in practice to produce virtuosity. Mastery is memory and it is here, particularly in the fourth *jhāna*, that the fruits of *samādhi* must be retained.

The fruits of samādhi

In summary, right *samādhi* arises when we are engaged in a primary practice, around which right mastery runs and circles to produce optimal effectiveness in its fulfillment. In right mastery, seclusion produces an unhindered mind. Unhindered and sufficiently ardent, the mind then settles into one-centeredness

³¹ Csikszentmihalyi (1990).

³² Gladwell (2005).

³³ See my related paper *The satiptthāna method*.

or $sam\bar{a}dhi$, a strict but flexible narrowing of attention around the theme of relevance to the primary practice. If feasible, discursive thoughts then fall away and the mind becomes silent, or at least whisper quiet and highly responsive, as it runs primarily in the implicit system. The mind in $sam\bar{a}dhi$ continues to attend to its theme, and is in this sense an extension of right mastery, ready to contribute its fruits to practice.

The fruits of practice address the soteriological goals of Buddhism. The following are declared in the *suttas* to be the fruits developed in *samādhi*:

Bhikkhus, there are these four developments of samādhi. What four?

- (1) There is a development of *samādhi* that developed and cultivated leads to dwelling happily in this very life.
- (2) There is a development of *samādhi* that developed and cultivated leads to obtaining knowledge and vision.
- (3) There is a development of *samādhi* that developed and cultivated leads to mastery-comprehension.
- (4) There is a development of *samādhi* that developed and cultivated leads to the destruction of the taints. (AN 4.41)

Let's look at each development of *samādhi* in turn.

Dwelling happily in this very life

The Bodhisatta recognized that there are pleasures that transcend mundane sensual pleasures, when he recalled that, as a boy sitting under a rose apple tree, he had spontaneously entered the first *jhāna* and found there,

... that pleasure that has nothing to do with sensuality, nothing to do with unskillful mental qualities. (MN 36 i247).

In fact, recognizing this distinction played a role in the *Bodhisatta*'s subsequent development of the middle way, followed by his awakening.³⁴

Mundane or everyday pleasure is based in sensuality, for instance, in food, sex, money in the bank, adventure movies, bungee jumping and booze. Such pleasures tend to be problematic, fraught and unsatisfying, because they are fleeting and get entwined with craving and appropriation (*upādāna*). In contrast, spiritual, immaterial or non-carnal (*nirāmisa*) pleasure arises

³⁴ See Cintita (2017, 8-9).

spontaneously in the states antecedent to *samādhi*, and self-concern (and with it the allure of sensuality) dissolves as the mind becomes progressively unhindered, one-centered, silent and equanimous. Once one experiences spiritual pleasure, one is eager to upgrade from one's more problematic sensual pleasures. Spiritual pleasure, aside from being valuable in itself, is thereby an incentive for Buddhist practice in general, as a substitute for the pursuit of mundane pleasures that keep us locked in *saṁsāra*.

Obtaining knowledge and vision

 $Sam\bar{a}dhi$ is an insight factory and right mastery in Dhamma provides its raw materials. Accordingly, we should note that $jh\bar{a}na$ is discussed in the early texts mainly in association with attainments that approach awakening:³⁵

When right *samādhi* does not exist, for one failing right *samādhi*, the proximate cause is destroyed for knowledge and vision of things as they really are. (AN 10.3)

Knowledge and vision are factors of wisdom attributed to *samādhi* repeatedly in the early texts, where they represent a stage close to final liberation, at which it might be said that we see what the Buddha saw.

There is no *jhāna* for one with no wisdom, no wisdom for one without *jhāna*. But one with both *jhāna* and wisdom, he's on the verge of *nibbāna*. (Dhp 372)

In sum, we seem to see things in *samādhi* that we otherwise miss. Why might that be? I can think of five reasons:

First, bringing practice into silence might serve internalization of mastery by pushing the neophyte to abandon more quickly reliance on the costly explicit system. This is much as a soldier learns to disassemble and reassemble his rifle in five minutes, then to do the same blindfolded.

"OK, private, now let's see it in the third *jhāna*!"

Second, our worst presumptions, such as of the existence of a substantial self, are largely unsustainable in the absence of discursive cognition. Discursive thought tends toward the most problematic forms of overthinking. At least

³⁵ Arbel (2017, 4).

temporarily we abide in, and familiarize ourselves with, a reality in which ingrained presumptions are absent. Our narratives shut down.

Third, generalizing the last point, a change in mode of cognition entails a shift in what we experience as "reality." This is a clear demonstration of the acrossthe-board mental constructedness of what we take to be real and substantial.

Fourth, silent cognition clears away abstractions in favor of attunement to factors experienced more directly in sensorimotor experience, grounded "in the body." It supports crystal clarity, otherwise obscured by loud higher-order conceptualizations and narratives.³⁶ Consider the way virtuoso musicians develop very refined musical sensitivities in the midst of effortless performance. While the development of virtuosity represents a shift away from discursive thinking in favor of silent cognition, *samādhi* anticipates virtuosity in following that same trajectory.

Fifth, silence encourages those "aha" moments when we finally "get it" in a deeper way.³⁷ Such an insight typically begins with thought and deliberation about a challenging problem, which then reaches an impasse. This is followed by an "incubation period," during which there is little or no discernible process of analysis concerning the problem, but out of which the solution suddenly erupts spontaneously.³⁸ Silent cognition provides the incubation period, along with maintaining the theme (*nimitta*) of *samādhi* in a quiescent but activated state so that cognition can remain silently engaged with the problem at hand below the radar until that "aha" moment.

Mastery and comprehension

Mastery-comprehension is the central function of right mastery. The isolated insights it produces as knowledge and vision are matters of discovery. The *Caṅki Sutta* tells us about discovery:

"... when he examines their meaning, he gains a reflective acceptance of those teachings; ..., zeal springs up; ..., he applies his will; having applied his will, he scrutinizes; ..., he strives; ..., he realizes with the

³⁶ Shulman (2014, 36).

³⁷ In the later tradition, largely informed by the *Visuddhimagga*, insight is attributed to *sati* and considered incompatible with *samādhi*, strikingly contrary to the early texts.

³⁸ Slingerland (2015, 147).

body the supreme truth and sees it by penetrating it with wisdom. In this way, Bhāradvāja, there is the discovery of truth [saccānubodho]; ... But as yet there is no final arrival at truth [saccānupatti]." (MN 95 ii173)

This encompasses *Dhamma* study, *satipaṭṭhāna* practice and abiding in *samādhi*.³⁹ Notice the phrase "realizes with the body," which may well refer to silent cognition. Nonetheless, discovery falls short of arrival:

"The final arrival at truth, Bhāradvāja, lies in the pursuit, development, and cultivation of those same things. In this way, Bhāradvāja, there is the final arrival at truth; ..."

(MN 95 ii173-4)

Even as mastery guides comprehension, comprehension teaches mastery, just as driving a car depends on, but also further improves, one's skill as a driver. This is "the pursuit, development, and cultivation" of mastery-comprehension that serves to fix in memory gains in knowledge and vision. *Samādhi* there facilitates internalization of the *Dhamma* and that makes the *Dhamma* transformative.⁴⁰

Discovery is driven by pursuit through application of mastery-comprehension, yet at the same time the insights and discoveries of comprehension feed back to develop mastery further, and these accumulate through relentless practice to cultivate or internalize as mastery. This is the general basis of skill acquisition. Without learning in this way, we could not progress in our spiritual development, nor in our ability to drive a car. Instead, we would begin each practice opportunity anew, having forgotten the previous connections we have made and our previous insights. By learning this way, our mastery grows and migrates from discursive know-what and know-how, to internalized know-how integrated into the sensorimotor body.

A single insight is momentary and, though remarkable, is only a glimpse of what the Buddha saw routinely. Through repetition, what we at first only glimpse becomes integrated as a matter of spontaneous perception, and we begin to see as if through the eyes of the Buddha. The internalized content of mastery weaves it into the very structure of perception.⁴¹

³⁹ The Buddha does not mention these teachings here, but this may be because Canki is not a disciple (until the end of the *sutta*) and is unlikely to be familiar with the jargon.

⁴⁰ Shulman (2011, 50).

⁴¹ As Shulman (2014, 106-7) puts it.

This pursuit, development and cultivation of mastery-comprehension is reflected in the standard descriptions of the third and fourth *jhānas* given above, here abbreviated:

(3rd *jhāna*) ... he abides in equanimity, masterful and comprehending, ... "He has a pleasant abiding, who is equanimous and masterful."

We entered *samādhi* on the basis of mastery and comprehension. The reappearance of mastery here either serves as a reminder that we are still engaged in the primary skillful practice, or else indicates some significant further development of mastery itself in the higher stages of *samādhi*.

(4th jhāna) ... purity of mastery due to equanimity.

"Purity of mastery" speaks of the further development of mastery as a higher attainment rather than as an application of existing mastery, presumably as the integration of what comprehension has been discovered into virtuosity.

The destruction of the taints

The taints ($\bar{a}sava$) are sensuality ($k\bar{a}ma$), becoming (bhava) and ignorance ($avijj\bar{a}$), the fundamental misguided tendencies of the mind. "The destruction of the taints" ($\bar{a}savakkh\bar{a}ya$) is a common reference to final liberation. Progress toward awakening is progress in the development of mastery in the practice of Dhamma. Liberation is the perfection of this mastery, effectively virtuosity in the skill of life. In the silence of $sam\bar{a}dhi$ the taints are destroyed.

Conclusions

Right *samādhi* springs forth from right mastery in the practice of *Dhamma*. Seeing and internalizing things as they are manifests in right *samādhi*.

The account laid out here is motivated in terms of its coherence in light of the early Buddhist texts, in terms of its cognitive and psychological viability, and I daresay in terms of meditative experience. This paper accounts for the distinctive features attested in the early texts, as the conditions under which <code>samādhi</code> arises of itself, as the stages through which it unfolds, and as the fruits it produces for practice and for the goals of practice. I have attempted here to develop an understanding of the natural basis of <code>samādhi</code> as a functional aspect of human cognition involved in the development and application of virtuosity,

the refinement of this natural basis in Buddhist practice, and the functions of *samādhi* in developing *Dhammic* skills and producing *Dhammic* insights, that ultimately lead to liberation.

In summary, the meditative state that is described in the early Buddhist texts, is almost too good to be true. It is depicted there as a state associated with delight, rapture, serenity and contentment that settles into four stages, the <code>jhānas</code>, through which the cognitive and affective functions of the mind are progressively narrowed. Yet it produces, through the guidance of <code>Dhamma</code>, the fruits of clarity, insight, knowledge and vision of things as they are, that lead ultimately to liberation. Moreover, <code>samādhi</code> makes its appearance often, spontaneously, naturally and effortlessly. The early texts tell us this. <code>Samādhi</code> is miraculous.

This paper is part of a series on *Rethinking Satipaṭṭhāna*. Please go to http://sitagu.org/cintita/satipatthana/ for references and for access to other papers in the series.